# **JCI** insight

# Human-specific elimination of epithelial Siglec-XII suppresses the risk of inflammation driven colorectal cancers

Hector A. Cuello, ... , Ajit Varki, Pradipta Ghosh

JCI Insight. 2024. https://doi.org/10.1172/jci.insight.181539.

Research In-Press Preview Inflammation Oncology

# **Graphical abstract**





# Find the latest version:

https://jci.me/181539/pdf

1	Human-Specific Elimination of Epithelial Siglec-XII Suppresses
2	the Risk of Inflammation Driven Colorectal Cancers
3 4 5	<b>AUTHORS</b> : Cuello Hector A <sup>1, 2</sup> , Sinha Saptarshi <sup>1, 3, 4</sup> , Verhagen Andrea L <sup>1, 2</sup> , Varki Nissi <sup>2, 5</sup> , Varki Ajit <sup>1-3, 6*</sup> , Ghosh Pradipta <sup>1, 3, 4, 7*</sup> .
6	
7	AFFILIATIONS:
8	<sup>1</sup> Department of Cellular and Molecular Medicine, University of California San Diego, CA, USA;
9	<sup>2</sup> Glycobiology Research and Training Center, University of California San Diego, CA, USA;
10	<sup>3</sup> Department of Medicine, University of California San Diego, CA, USA;
11	<sup>4</sup> Moore's Comprehensive Cancer Center, University of California San Diego, CA, USA;
12	<sup>5</sup> Department of Pathology, University of California San Diego, CA, USA;
13	<sup>6</sup> Center for Academic Research and Training in Anthropogeny, University of California San Diego, CA, USA;
14	<sup>7</sup> HUMANOID Center of Research Excellence (CoRE), University of California San Diego, CA, USA;
15	
16	
17	Short Title: Siglec-XII expression drives inflammation-associated CRCs.
18	
19 20	* CORRESPONDING AUTHOR CONTACT INFORMATION
20	
22 23 24 25	Pradipta Ghosh, M.D.; Professor, Departments of Medicine and Cell and Molecular Medicine, University of California San Diego; 9500 Gilman Drive (MC 0651), George E. Palade Bldg, Rm 232; La Jolla, CA 92093. Phone: 858-822-7633. Email: prghosh@ucsd.edu
26 27 28	<b>Ajit Varki;</b> Professor, Department of Cell and Molecular Medicine, University of California San Diego; 9500 Gilman Drive, Biomedical Research Facility II; La Jolla, CA 92093. <b>Phone</b> : 858-534-2214. <b>Email:</b> a1varki@health.ucsd.edu.
29	STATEMENT ON CONFLICT OF INTERESTS
30	The authors declare no competing interest with the content of this manuscript.

# 31 ABSTRACT (200 WORDS)

32 Carcinomas are common in humans but rare among closely related "great apes". Plausible explanations, 33 including human-specific genomic alterations affecting the biology of sialic acids are proposed, but causality remains unproven. Here, an integrated evolutionary genetics-phenome-transcriptome approach studied the 34 35 role of SIGLEC12 gene (encodes Siglec-XII) on epithelial transformation and cancer. Exogenous expression of the protein in cell lines and genetically engineered mice recapitulated ~30% of the human population in 36 37 whom the protein is expressed in a form that cannot bind ligand due to a fixed, homozygous, human-universal missense mutation. Siglec-XII null cells/mice recapitulated the remaining ~70% of the human population in 38 39 whom an additional polymorphic frameshift mutation eliminates the entire protein. Siglec-XII expression drove several pro-oncogenic phenotypes in cell lines, and increased tumor burden in mice challenged with chemical 40 carcinogen and inflammation. Transcriptomic studies yielded a 29-gene signature of Siglec-XII-positive 41 disease and when used as a computational tool for navigating human datasets, pinpointed with surprising 42 precision that SIGLEC12 expression (model) recapitulates a very specific type of colorectal carcinomas 43 44 (disease) that is associated with mismatch-repair defects and inflammation, disproportionately affects European-Americans, and carries a better prognosis. They revealed a hitherto unknown evolutionary genetic 45 46 mechanism for an ethnic/environmental predisposition of carcinogenesis.

#### 47 MAIN TEXT (6487 WORDS)

#### 48 INTRODUCTION (403 WORDS)

Colorectal cancers (CRCs) are the third most commonly diagnosed cancers and the second leading cause of cancer-related deaths globally, with an alarmingly rising incidence (1). Such high prevalence and rising incidence among humans is particularly surprising, given that CRCs among other many carcinomas are a rarity among captive chimpanzees with whom we share >99% protein sequence homology (2–4). In fact, cancers are part of a list of common human diseases that may be partially or completely unique to our species compared to other primates (5–7).

Human-specific changes in specific Siglecs is one of the reasons put forth as molecular mechanisms 55 that could explain human proneness to developing cancers (8, 9). Siglecs are a group of vertebrate lectins 56 belonging to the immunoglobulin superfamily that recognize glycan bearing sialic acid (Sias). A subset of 57 inhibitory CD33-related Siglecs (CD33rSiglecs) are prominently expressed in immune cells and are 58 59 considered to have a regulatory role in suppressing the activation of innate immune cells via cytosolic immunoreceptor tyrosine-based inhibitory motifs (ITIMs) (10, 11). These ITIMs recruit protein phosphatases 60 61 such as Src homology region 2-containing protein tyrosine phosphatases (PTPs) SHP-1 and SHP-2 (12, 13). Of relevance in the context of CRCs, both SHP1 (14) and SHP2 (15) have been found to serve as brakes 62 63 that limit tumorigenesis via their ability to antagonistically inactivate pro-oncogenic tyrosine-based signals; 64 mice lacking SHP1/2 in intestinal epithelial cells (IECs) develop higher tumor burden, associated with 65 sustained activation of downstream pathways such as the PI3K/Akt, Wnt/β-catenin, NFkB and STAT3 signals. Thus, signaling via a functional Siglec $\rightarrow$ SHP1/2 axis in IECs is expected to inhibit tumorigenesis. 66 Although multiple CD33-related Siglecs are reported to be upregulated in cancers (16, 17), no study has 67 evaluated the role of Siglecs in IECs. 68

69 Here we explore a previously unforeseen human-specific association between CRCs and Siglec-XII, 70 a member of the Siglec family of Sia-recognizing receptors that is primarily expressed in epithelial cells (18) 71 and functionally inactivated from recognizing Sia ligands, thereby signaling aberrantly only in humans. Since mice do not have a SIGLEC12 gene, we modeled the human disease in vitro and in mice and then used an 72 73 unbiased computational approach to navigate human disease samples to unravel the implications of Siglec-74 XII expression and its impact on oncogenesis. Findings surprisingly reveal that expression of a functionally 75 defective Siglec-XII in a subset of humans predisposes them to develop a specific type of CRCs that is 76 environmentally influenced (higher inflammation) and ethnically predisposed.

#### 77 RESULTS (2209 WORDS)

## 78 A study design rationalized by human-specific evolutionary genetics of the SIGLEC12 gene.

To study the role of Siglec-XII in IECs and ensure that findings are relevant to the human disease (i.e., CRCs), 79 we drew inspiration from the known uniquely human features of SIGLEC12, the gene that encodes Siglec-80 XII in humans. This gene harbors a human universal missense mutation in the Sia-binding domain that affects 81 a critical arginine residue (the Arg/Cvs mutation), resulting in an inability to recognize Sias (hence the protein 82 is denoted using Roman numeral XII to differentiate it from functional Siglecs) (19) (Figure 1A). This 83 inactivating mutation occurred prior to the common ancestor of all modern humans thus is absent in closely 84 related "great apes", the latter express a functional Siglec-12 that preferentially recognizing Neu5Gc (a Sia 85 86 lost from the human lineage) (18, 19). Furthermore, the human SIGLEC12 locus is currently undergoing 87 negative selection in humans that favors a null and/or truncated form of the protein, characterized by the excess of rare alleles and the presence of "selective sweep" acting on the gene throughout the overall human 88 89 population (20). The most common frameshift mutation arises from the insertion of a guanine (G) in the SIGLEC12 gene, resulting in the loss of expression of the complete protein in most humans (Figure 1A) (18, 90 91 21). Interestingly, among the minority of humans who possess the genomic ability to express it, the protein is detected in certain tissue macrophages, but is not found in other blood cell types and instead exhibits 92 higher levels of expression on the surfaces of epithelial cells (18). Another peculiarity of this protein is that, 93 even though Siglec-XII does not have the ability to recognize Sias, it still possesses ITIM and ITIM-like 94 domains in its cytosolic tail that can undergo phosphorylation to recruit Shp1 and Shp2 phosphatases (22). 95 raising the possibility of Siglec-XII serving as a dominant negative protein that can signal (via Shp) in the 96 97 absence of binding the natural ligand (Sias).

We hypothesized that aberrant signaling via Siglec-XII supports human-specific mechanisms 98 increasing cancer risk and progression. Because the ligand-binding defective mutant is expressed in only 99  $\sim$ 30% of the healthy human population, but enriched up to  $\sim$ 70-80% in all carcinomas and  $\sim$ 64% in CRCs (8) 100 (Figure 1B), we hypothesized that the minority of humans who express full-length Siglec-XII may be at the 101 highest risk for developing advanced carcinomas. The enrichment of Siglec-XII positivity from normal to 102 103 cancer tissues suggested that Siglec-XII positivity, either alone or via its interaction with environmental factors 104 predispose to cancers. To model these uniquely-human features of the SIGLEC12 gene, we exogenously expressed the ligand-binding defective Siglec-XII in cell lines and in mice (which do not have a SIGLEC12 105 gene (13)) and explored cellular phenotypes and tumorigenesis, respectively. 106

- 107
- 108
- 109

# 110 Exogenous expression of Siglec-XII in null human carcinoma cell lines enhances malignant features.

Previously, a flow cytometry screen of five human carcinoma cell lines showed that MDA-PCa-2b and LNCaP 111 (prostate cancer) and MCF-7 (breast cancer) lines express Siglec-XII, whereas MDA-MB-231 (breast cancer) 112 and PC-3 (prostate cancer) do not express it (18). In a second screen of another set of 4 colorectal carcinoma 113 114 cell lines (Colo-320, Caco-2, LS-180 and HT-29), also by flow cytometry, we confirmed that 2 of 4 express the Siglec-XII protein (LS-180 and HT-29) (Supplemental Figure S1A). We confirmed this by checking for 115 and confirming the absence of the polymorphic frameshift insertion mutation in the human SIGLEC12 gene 116 (Supplemental Figure S1B), the event which results in a premature stop codon and consequent loss of 117 118 expression in most individuals. The higher frequency of expression in carcinoma cell lines (5/9) is in keeping with the prior conclusion (8) that human carcinomas have a higher incidence of Siglec-XII expression than 119 expected in the general population. 120

To begin to explore the significance of Siglec-XII in the progression of CRCs, we used Siglec-XII non-121 122 expressing Caco-2 cell line. As positive control, and to provide continuity with our prior work (8, 18), we used the Siglec-XII non-expressing PC-3 human prostate carcinoma cells. These cells were previously 123 characterized by flow cytometry to confirm cell surface expression of Siglec-XII exclusively after transfection. 124 Both cell lines were transfected with either pCDNA-3.1-SIGLEC12 or with empty vector (control), forcing them 125 to exogenously express full-length Siglec-XII. Stable clones were selected (see methods) and used in a 126 variety of assays, i.e., cell adhesion, spheroid formation and migration. Compared to controls, the Siglec-XII-127 expressing counterparts significantly and consistently showed decreased cell adhesion (Figure 2A-B), 128 129 accelerated spheroid growth (Figure 2C-E) and increased Transwell® migration (Figure 2F-G). These phenotypes were accompanied also by increased ERK1/2 activity, as determined by immunoblotting for the 130 phosphorylated kinase (Figure 2H-K). Siglec-XII expression was confirmed by immunoblotting (Figure 2H-131 132 **K**).

133

# 134 Creation and validation of a transgenic intestine-specific knock-in *SIGLEC12* murine model.

Because carcinogenesis in the colon requires the complex interplay between multiple factors (host genetics, 135 aut microbes, and the immune system) that are hard to recapitulate in vitro in cell line models, mouse models 136 have proven crucial in the identification of the role of genes responsible for CRC initiation and progression 137 (23). Given that mice do not have any endogenous SIGLEC12 gene (13), we developed a mouse model that 138 allows conditional expression of the protein Siglec-XII (see legend, Figure 3A). The SIGLEC12 knock-in mice 139 presents the egfp gene (including stop codon) flanked between two loxP sites, and it is upstream of the 140 Siglec-XII coding sequence. This stop codon prevents SIGLEC12 gene expression in the absence of Cre 141 recombinase. To selectively knock-in SIGLEC12 in the intestine, we bred SIGLEC12 transgenic mice with 142

Villin1-Cre-ERT mice; the latter restricts the Cre-ERT expression to the villi and crypts of the small and large 143 144 intestine (24, 25). We collected small and large intestines at early and late time points after five consecutive days of tamoxifen administration (Figure 3B) and analyzed them for Siglec-XII expression by 145 immunohistochemistry and immunoblotting. Both methodologies confirmed that Siglec-XII is expressed 146 prominently and homogeneously exclusively in the transgenic mice (SIGLEC12-Villin1-Cre-ERT) but not their 147 control littermates (Villin1-Cre-ERT) as early as day 12 (Figure 3C-D). We also confirmed that such 148 conditional expression of Siglec-XII in the transgenic mice was sustained as late as day 87 (Supplemental 149 Figure S2). Histopathological analysis ruled out any gross or microscopic changes on day 87 in various 150 organs (colon, liver, kidney, lung, and spleen) (Supplemental Figure S3). More importantly, we did not 151 observe any features suggestive of inflammation, metaplasia, dysplasia, or neoplasia. 152

# 153 SIGLEC12-knock-in mice display greater tumor burden in response to chemical carcinogenesis.

Because the transgenic SIGLEC12 mice do not develop spontaneous tumors, next we sought to use it in 154 conjunction with chemically induced CRC models which recapitulate the progression from aberrant crypt foci 155 and adenoma to adenocarcinoma and are commonly used to study the effects of diet, inflammation, and gut 156 microbiota (23). More specifically, we subjected mice to chemical carcinogenesis using well established use 157 of azoxymethane (AOM) and dextran sulfate sodium salt (DSS) (26-28) (Figure 4A; Supplemental Figure 158 S4). While AOM mainly leads to the generation of adenomas, exposure to AOM/DSS is known to induce the 159 formation of a complete process of colon oncogenesis, progressing from the initial proliferation of crypts to 160 the final development of high-grade dysplasia and adenocarcinomas in ~25-50% of the C57BL/6 mice (29). 161 Because many Siglecs are inhibitory receptors expressed in innate immune cells that regulate inflammation 162 (30), the AOM/DSS model seemed furthermore appropriate as it is known to primarily recapitulate 163 inflammation driven CRCs (31). The animals were followed for 87 days and examined for colorectal tumors 164 at necropsy. Examination of the colons showed that Siglec-XII-expressing mice presented significantly 165 166 increased tumor burden than controls (Figure 4B-C), and the base of the tumors were typically associated with immune cell infiltrates (arrow, compare Figure 4D-E). Animals with induced Siglec-XII and exposure to 167 168 AOM/DSS also showed larger rectal tumors compared to control animals (Figure 4D-E).

# 169 Gene signatures uniquely induced due to Siglec-XII expression in human CRCs.

To ascertain which processes and/or drivers of human carcinogenesis are recapitulated in our chemically induced transgenic mice, we sought an unbiased computationally driven 2-step approach. First, we carried out RNA sequencing of the colons at baseline and after AOM/DSS challenge. A differential expression analysis (DEA) of genes between AOM/DSS treated controls (Villin1-Cre-ERT) and Siglec-XII-expressing mice led to the identification of a 29-gene signature (Figure 5A-B), which is upregulated in Siglec-XII mice. This set of 29 genes was enriched for diverse bioenergetic processes (Figure 5C). As expected, in the absence of ligand recognition capabilities, the tyrosine-based signaling pathways, typically modulated antagonistically by Shp1/2 phosphatases, were lacking. These DEGs were not differentially expressed at early timepoints (baseline; Figure 5D) when Siglec-XII expression is strong (Figure 3C-D), indicating that Siglec-XII expression alone was insufficient. Instead, the gene signature captures the combinatorial effect of AOM/DSS and Siglec-XII. In fact, no significant DEGs were found between baseline samples. The DEGs were upregulated also in Siglec-XII expressing Caco-2 cells (Figure 5E).

Next, we used the gene set as a signature of CRC predisposition to navigate diverse CRC datasets. 182 Because chemical induction models recapitulate some of the earliest steps for CRC initiation and progression 183 (23), such as aberrant crypt foci, dysplasia, etc., we asked if the gene signature is differentially induced in 184 different parts of the human colon and diverse subtypes of polyps that carry differential risk of progression to 185 CRCs. We found that the 29-gene signature uniquely induced due to Siglec-XII was induced also in the right 186 side of the human colon (compared to the left: see Figure 5F-left) regardless of whether these samples were 187 from normal subjects (Control; Figure 5F-left) or from patients who had polyps (Adj. normal; Figure 5F-left). 188 The signature was significantly induced in polyps that are known to carry risk for CRC progression 189 (adenomatous and sessile serrated adenomas; SSAs; Figure 5F-left) but not in benign hyperplastic polyps. 190 191 Induction of the signature in polyps was confirmed also in an independent cohort (Figure 5F-right).

We asked if Siglec-XII expression is associated with higher risk of polyp→CRC progression. To this 192 end, we leveraged a publicly available dataset that represents a time-lapse model for CRC initiation and 193 progression in humans (32) (Figure 5G-left). In that model, cancer adjacent polyps (CAPs) were used as a 194 model to study cancer progression temporally because the precursor polyp of origin remains in direct 195 contiguity with its related (33-35). Cancer-Free Polyp (CFP) cases, on the other hand, are polyps that 196 have remained cancer free, despite sharing similar size, histologic features, and degrees of dysplasia as 197 198 CAPs (Figure 5G-left). Laser-dissected pre-neoplastic tissues from the CAPs represent polyps with a proven high risk of CRCs, CFPs represent polyps at low risk, and paired normal colons sampled ~8 cm away from 199 the polyps served as controls. Our 29-gene signature is significantly induced in CAPs compared to CFPs and 200 could classify them perfectly (ROC AUC 1.00; Figure 5G-right), indicating that Siglec-XII expression shares 201 202 similar patterns of induction of gene expression that are encountered in polyps that are at highest risk for progression to CRCs. 203

204 Consistent with the fact that cancers that originate from right-sided polyps are often diagnosed at 205 advanced stages (36), a CRC array and multivariate analyses showed that Siglec-XII positivity was 206 significantly associated with presentation at advanced stages (pTNM; Figure 6A-B; Supplemental Table 1).

# 207 Siglec-XII expression is associated with a specific ethnic subtype of CRCs.

Next, we used the 29-gene model-derived signature as a computational tool to navigate human CRC datasets 208 and objectively assess for a precise match in gene expression patterns in Siglec-XII model vs human CRC 209 subtypes (Figure 6C). We found that the 29-gene signature was significantly induced in both tumors and 210 adjacent normal tissues from self-identified European Americans [a.k.a "whites" and described in the dataset 211 212 as "Caucasian Americans"] vs "African Americans" [a.k.a. blacks"] (Figure 6D; ROC AUC for each 1.00). This dataset was first used in a study (37) that showed differential contributions of immune cells and 213 inflammation and mismatch repair defects among two ethnic groups; it is one of the studies that established 214 what is now widely recognized as a key ethnic difference in the CRC subtypes (38). Furthermore, consistent 215 with the fact that tumors in European Americans are more often right-sided with microsatellite instability (MSI) 216 and carry an overall good prognosis (38), we found that high expression of the 29-gene signature was 217 associated with a favorable outcome among all CRCs; both overall (Figure 6E) and progression-free 218 (Supplementary Figure S5A) survival were prolonged. This favorable impact on outcome continued to hold 219 true even when the analysis was repeated among just the MSI-high tumors (Figure 6F; Supplementary 220 Figure S5B). 221

Having observed a match in the model-derived 29-gene expression pattern in the CRC subtype to 222 which Caucasians are predisposed, we asked if the converse holds true, i.e., if the key disease-drivers 223 reported in the European Americans tumors as key clinicopathological disease features are also recapitulated 224 in our model. The study reported that European Americans, but not African Americans develop tumors that 225 are characterized by inflammation (high IL1B, IL8, NFKBIE and IL6ST) and microsatellite instability (MSI-226 high) in the setting of altered expression of several key genes in the mismatch repair pathway (37). We found 227 both these patterns to hold true in our mouse model (Figure 6D; Supplementary Figure S5C-H). These 228 findings show that the Siglec-XII model faithfully recapitulates the pathological drivers believed to be 229 frequently seen in one ethnicity (European Americans) but not the other (African Americans). 230

## 232 DISCUSSION (1120 WORDS)

The major discoveries we report here provide insights into the consequences of expression of the 233 234 epithelial Sia-binding defective Siglec-XII in ~30% of the human population, and how that may put them at 235 risk of developing inflammation-driven CRCs. We show that in model systems that recapitulate most individuals who lack expression of the Siglec-XII receptor versus those who do, the expression of the receptor 236 that is unable to bind its natural ligand has 3 key effects (see summary of findings; Figure 7): i) cancer-237 associated cellular phenotypes are enhanced: (ii) tumor burden is increased in mice and is associated with 238 239 advanced stages of disease at diagnosis and (iii) gene expression patterns changed in ways that mirrored with surprising degree of precision an inflammation-environmentally driven carcinogenesis process. Because 240 phenotypic changes in CRC-derived Caco-2 cells generally held true in prostate cancer-derived PC-3 cells. 241 aberrant functionally defective Siglec-XII expression in other epithelial linings may serve as a shared 242 contributor to and/or predisposition for other inflammation-driven human carcinomas. We conclude that the 243 persistence of Siglec-XII in humans predisposes to CRCs and likely other carcinomas, and its elimination 244 could serve as a selection favoring survival. 245

It is noteworthy that besides SIGLEC12, there are other Siglecs that have undergone human-specific 246 247 changes in functional gene status, expression, or ligand binding, which include: SIGLEC1, SIGLEC5/14, SIGLEC6, SIGLEC7, SIGLEC9, SIGLEC11, SIGLEC13, and SIGLEC16 (39). As with Siglec-XII, only a 248 minority of the human population (38.7 %) has a SIGLEC16 allele coding for functional protein expression, 249 whereas the majority carries an inactive pseudogene, SIGLEC16P, product of a four-nucleotide deletion 250 disrupting the open reading frame. Although in the vast majority of these cases we don't know how the 251 human-specific changes impact oncogenesis, a positive association with survival in glioblastoma was found 252 for the intact SIGLEC16 (activating Siglec)-positive cases (9). It is possible that activating and inactivating 253 Siglecs, when aberrantly expressed in the human population as functional or non-functional variants, could 254 alter the risk of initiation and/or progression of oncogenesis in diverse organs. What we established with 255 256 certainly is that in the case of Siglec-XII, its expression did not cause spontaneous carcinogenesis, but predisposed to environmentally induced carcinogenesis. Its expression in established tumors, however, was 257 associated with improved outcome. 258

The specific mechanism of action of the Sia binding-defective Siglec-XII in those who express it is unknown. However, taking into consideration prior reports from us (8, 18) and others (22), it is possible to highlight a relation between this cell receptor and the risk to develop carcinomas. For example, cancer-related signaling pathways were enriched in PC-3 prostate cancer cells transfected with *SIGLEC12* (18) which was accompanied by enhanced tumor growth as xenografts in nude mice (8, 18). Similar efforts to transfect the chimpanzee version of *SIGLEC12* or the arginine-restored version of human *SIGLEC12* were not successful

(18), suggesting that additional components that may be critical for protein folding and targeting were also 265 lost during evolution. The fact that still recruit PTPs trough phosphorylated ITIM and ITIM-like domains in its 266 267 cytosolic tail (22) suggests that it retains the ability to transmit downstream signals; however, whether it does so constitutively or upon binding to hitherto unidentified ligands remains unknown and cannot be dismissed. 268 We show that expression of human Siglec-XII increased at least one type of signaling pathway in vitro in the 269 epithelial cells (ERK/MAPK) and inflammatory cytokine signals in vivo in the murine tumors (IL6, IL8, IL18). 270 demonstrating that despite defect in binding to its natural ligand, Siglec-XII may support some form of gain 271 in signaling function that were associated with also gain in pro-oncogenic phenotypes. It is possible that 272 expression of the inhibitory Siglec-XII served as a dominant negative receptor that sequestered tumor 273 suppressive SHP1/2 phosphatases (14, 15), thereby contributing to the oncogenic risk. Although we did not 274 observe aberrant co-expression of either SHP1 or SHP2, we noted the upregulation of another member in 275 the PTP family, i.e., Ptpn18; upregulation of Ptpn18 has been reported in yet another type of CRC, i.e., early 276 onset CRC (EOCRC) and such upregulation carries worse prognosis (40). 277

Perhaps the most important finding of translational relevance is the degree of precision with which 278 Siglec-XII positive tumors (our model) recapitulated the gene expression patterns encountered in normal 279 human colonic mucosa and in diverse human polyps and CRCs (the disease). Expression of SIGLEC12 280 captured the gene expression pattern that is detected at higher levels on the right side of the colonic mucosa 281 compared to the left. Because the 29 gene signature largely reflected mitochondrial bioenergetic processes, 282 we suspect that this difference is due to previously demonstrated striking differences in mitochondrial 283 bioenergetics between the right vs left colonic mucosa (41). In fact, the bioenergetic status of the right colon 284 has been shown to mimic that seen in that of the normal tissue adjacent to CRCs (41). We also found that 285 elevated expression of the 29 gene signature is encountered in polyps that carry a higher risk of progression 286 to CRCs. It also mirrored a distinct subtype of CRCs that are more often encountered in European Americans: 287 these are right-sided, primarily driven by mismatch repair defects and IL1B/IL8/IL6-centric inflammation and 288 289 are associated with improved outcome. Consistent with the form of disease in humans, we saw immune cell infiltrates in our mouse model. Given their active immune microenvironment and elevated expression of 290 various checkpoint molecules. MSI-H, right-sided CRCs in "whites" present as promising candidates for 291 targeted immunotherapy with immune checkpoint inhibitors (42, 43). It is possible that either Siglec-XII or the 292 29-gene signature could serve as a biomarker for both prognostication and prediction of therapeutic response 293 to immunotherapy. On the therapeutic side, Siglec-XII is a promising candidate for targeted drug delivery to 294 cancer cells expressing it due to its limited and specific expression in only a few cell types. For example, 295 given its ability to internalize upon antibody binding (18), coupling a toxin to the antibody presents a potentially 296 effective strategy for advancing cancer therapy. The simple assay we developed to rapidly screen for all 297

298 mutations abrogating expression using patient-derived saliva and urine samples could help identify those 299 who may benefit (8).

Despite the insights gained, there are a few limitations of this study. The use of a handful of CRC cell lines is one; analyzing a broader range of CRC cell lines is expected to yield how this Siglec-XII phenomenon intersects with other CRC-driving genetics. Additional validation studies are also needed for dissecting the signaling pathways in the animal model; such studies are expected to establish a clearer link between Siglec-XII and its role in cancer.

Taken together these data support the notion that Siglec-XII expression may facilitate CRC progression in humans. Similar studies need to be done with other carcinomas that also have very high incidence in humans compared with closely related apes.

# 308 METHODS (2755 WORDS)

**Sex as a biological variant.** In this study, we evaluated the impact of Siglec-XII expression in mice. Although only female mice were used, sex was not considered a biological variable, based on multivariate analyses of a Siglec-XII positive human cohort (Figure 6B).

### 312 **Experimental Methodologies**:

Cell lines. Prostate (PC-3) and colorectal (Caco-2) adenocarcinoma cell lines were purchased from the 313 American Type Culture Collection (ATCC, Virginia, USA). PC-3 cells were grown in F-12K Medium (Kaighn's 314 Modification of Ham's F-12 Medium) supplemented with 10% fetal bovine serum (FBS) (Gibco, New York, 315 USA). Caco-2 cells were grown in Eagle's Minimum Essential Medium (EMEM) supplemented with 20% fetal 316 bovine serum (FBS). Monolayers were routinely sub-cultured with Trypsin-EDTA solution (Gibco, New York, 317 318 USA), following standard procedures. Cell cultures were maintained at 37°C in a humidified atmosphere of 5% CO<sub>2</sub> and tested for contamination with Mycoplasma with the kit DAPI (Vector, California, USA). The cell 319 lines used for the described experiments had all been maintained in tissue culture for less than 20 passages. 320

Establishing Cell lines Stably Expressing Siglec-XII. PC-3 and Caco-2 cells were transfected with Pvul linearized h*SIGLEC12*-pcDNA3.1 or empty pcDNA3.1(-) in six-well plates using Lipofectamine 2000 (Invitrogen, California, USA). 48 h after transfection, the cells were trypsinized and grown with 800 μg/ml G418. After 1 month in culture, expression of Siglec-XII was determined using Western Blot. Cell adhesion, spontaneous spheroid formation, cell viability, cell migration and western blot studies were conducted using stable vector-transfected PC-3 and Caco-2 cells (h*SIGLEC12*-pcDNA3.1 or empty vector as negative control).

Flow cytometry. Cell lines were collected by enzyme-free cell dissociation buffer (Thermo Fisher Scientific, 328 California, USA) and incubated with mouse anti Siglec-XII 276, anti Siglec-XII rabbit polyclonal antibody 329 (AP18196PU-N, Origene, Maryland, USA), mouse IgG Isotype Control (MG1-45, BioLegend, California, 330 USA) or rabbit IgG Isotype Control (X0936, Dako, Denmark) on ice for 30 min. Cells were washed with 331 phosphate buffered saline (PBS) and incubated with anti-mouse Alexa-Fluor® 488 (A11001, Invitrogen, 332 333 California, USA) or anti-rabbit Alexa-Fluor® 488 (A11053, Invitrogen, California, USA) on ice for 30 min. Acquisition of data was carried out using a FACSCalibur flow cytometer (Becton Dickinson, New Jersey, 334 USA) and data analyzed using FlowJo® software. 335

*SIGLEC12* frameshift mutation. The genomic DNA was isolated from cell lines. The frameshift deletion
 mutation of *SIGLEC12* was analyzed using PCR. The primers used to amplify the *SIGLEC12* locus were 5' ACCCCTGCTCTGTGGGAGAGT-3' (forward) and 5'AGGATCAGGAGGGGCATCCAAGGTGC-3' (reverse).
 The PCR was performed using the Phusion High-Fidelity Polymerase kit (Thermo Scientific, California, USA).
 The amplified product was purified using the QIAquick PCR purification kit (Qiagen, Venlo, Netherlands) and
 sent for sequencing to EtonBio (San Diego, USA). The sequencing was performed using the primer: 5' CTCTCTCGGTGTCTCTGATGC-3' (reverse).

**Cell adhesion assay**. Cell adhesion was measured using crystal violet staining. Cells were harvested with an enzyme-free cell dissociation buffer and seeded at a concentration of  $4 \times 10^4$  cells/well in complete medium in a 96-well plate. After incubation at 37°C at 0.5, 1 and 1.5 h the cells were washed with PBS, and non-adherent cells were removed by aspiration. Adherent cells were stained with a 0.5% (w/v) crystal violet solution with 20% (v/v) methanol. After washes, the dye was solubilized with 10% (v/v) methanol and 5% (v/v) acetic acid, and the absorbance was measured at 595 nm by EnSpire® Multimode Plate Reader (PerkinElmer, Massachusetts, USA).

Spontaneous spheroid formation. Cells were harvested and passed through a 40 µM cell strainer. Cells were plated at a density of 3000 cells in 100 µL of growth media per well using 96-well spheroid microplates.
Spheroid cultures were photographed, and cell viability was measured at day 4, 8 and 10. The same seeding methods were used for all cell lines.

**Cell viability assay**. The CellTiter-Glo® 3D Cell Viability Assay protocol was followed (Promega, Wisconsin, USA). The CellTiter-Glo® 3D Cell Viability Assay is a homogeneous method to determine the number of viable cells in 3D cell culture based on quantitation of the ATP present, which is a marker for the presence of metabolically active cells. Briefly, spheroids were transferred to white plates and CellTiter-Glo® 3D reagent was added directly into wells in a 1:1 dilution. The solutions were well mixed by shaking the plate for 5 minutes then incubated at room temperature for 25 minutes. After incubation, the generated luminescent signal was read and analyzed using the EnSpire® Multimode Plate Reader (PerkinElmer, Massachusetts, USA).

**Cell migration assay**. After overnight starvation, 1×104 PC-3 or 2x104 Caco-2 cells previously transfected with h*SIGLEC12*-pcDNA3.1or empty pcDNA3.1(-), were seeded into the Transwell® inserts (HTS Transwell®-96 Permeable Support with 8.0 µm Pore Polyester Membrane, Corning, Nueva York, USA) in serum-free medium. The lower chamber was filled with 10% FBS containing medium. Stationary cells were removed from the upper surface of the membranes with a cotton swab. Cells that migrated to the lower surface were fixed and stained with crystal violet. Migrating cells were counted in five randomly selected fields and normalized to control.

Western blotting and antibodies. Cells were homogenized on ice in RIPA lysis buffer (Cell Signaling 368 Technology, CST, Massachusetts, USA) supplemented with protease and phosphatase inhibitors (CST, 369 USA). Protein concentration was quantified using a Pierce bicinchoninic acid (BCA) protein assay kit (Thermo 370 Scientific, California, USA). Equal amounts of proteins were resolved by sodium dodecyl sulphate 371 polyacrylamide gel electrophoresis (SDS-PAGE) and transferred onto polyvinylidene difluoride (PVDF) 372 membranes (Bio-Rad, California, USA). The membranes were blocked in Tris Buffered Saline with 0.1% 373 Tween® 20 (TBST, CST, Massachusetts, USA) and 0.5% Bovine Serum Albumin (BSA) (Sigma-Aldrich, 374 Missouri, USA) for 1 h at room temperature and then incubated with the primary antibodies at 4 °C overnight. 375 The primary antibodies used for immunoblotting were anti-β-Actin (#4970, 1/10000, CST, Massachusetts, 376 USA), anti-phospho-p44/42 MAPK (Erk1/2) (Thr202/Tyr204) antibody (#9101, 1/1000, CST, Massachusetts, 377 USA), anti-p44/42 MAPK (Erk1/2) antibody (#9102, 1/1000, CST, Massachusetts, USA), and anti-Siglec-XII 378 (AP18196PU-N, 1/2000, Origene, Maryland, USA). Then, membranes were incubated with IRDye® 800CW 379 Goat anti-Rabbit IgG secondary antibody (1/15000, LI-COR Biosciences, Nebraska, USA). Protein bands 380 were visualized using Odyssey® Imager (LI-COR Biosciences, Nebraska, USA). 381

Mouse Strains. Villin1-Cre transgenic mice, with a Cre recombinase gene introduced under the promoter of 382 the Villin1 gene (24) were acquired from the Jackson Laboratory (Maine, USA). Human SIGLEC12 383 conditional knock-in was produced by Cyagen (California, USA). The gRNA to mouse ROSA26 gene, the 384 donor vector containing BGH pA-Kozak-human SIGLEC12CDSloxP-SV40 early pA-EGFP-loxP-CAG 385 promoter cassette, and Cas9 mRNA were co-injected into fertilized mouse eggs to generate targeted 386 conditional knock-in offspring. F0 founder animals were identified by PCR followed by sequence analysis, 387 which were bred to wildtype mice to test germline transmission and F1 animal generation. The SIGLEC12 388 knock-in mice presents the eqfp gene (including stop codon) flanked between two loxP sites, and it is 389 390 upstream of the Siglec-XII coding sequence. Mice with one floxed allele for SIGLEC12 were crossed with Villin1Cre, to generate Villin1Cre with heterozygous floxed SIGLEC12 progeny. The littermates containing 391 only Villin1Cre were used as controls. 392

Tamoxifen Preparation and Administration. Tamoxifen (Sigma-Aldrich, Missouri, USA) was prepared as
 described previously (44). Eight-week-old mice were i.p. injected with 100 µL of tamoxifen stock solution (10
 mg/ml) for 5 consecutive days and sacrificed either after 12 or 87 days of the first injection.

Immunohistochemistry studies. Slides with multi-tissue arrays of different human carcinomas were obtained from Super Bio Chips (Gagnum-gu, Korea). The sections were deparaffinized and blocked for endogenous biotin and peroxidase. Citrate buffer pH 6.0 was used for heat-induced epitope retrieval. A fivestep signal amplification method was used, consisting of the application of mouse monoclonal anti-Siglec-XII antibody (clone 276, which has been described earlier (18)), followed by biotinylated donkey anti-mouse,
horseradish peroxidase (HRP) (Jackson ImmunoResearch Laboratories, Pennsylvania, USA), Streptavidin,
followed by application of the enzyme biotinyl tyramide, and then, labeled Streptavidin (Jackson
ImmunoResearch Laboratories, Pennsylvania, USA).

For mouse samples, tissues were frozen in Optimum Cutting Temperature compound (OCT) and processed 404 for frozen sections using the Leica cryostat. Slides were fixed for 1 min in acetone and, after 3 washes with 405 TBST, incubated with anti-Siglec-XII (AP18196PU-N, Origene, Origene, Maryland, USA) antibody for 30 min 406 407 at room temperature. After three washes with TBST, slides were incubated with Peroxidase AffiniPure™ Goat Anti-Rabbit IgG (Jackson ImmunoResearch Laboratories, Pennsylvania, USA) for 30 min at room 408 temperature. For both human tissue array and frozen mouse tissue sections, the AEC kit (Vector, California, 409 USA) was used as substrate, nuclear counterstain was carried out with Mayer's hematoxylin, and the slides 410 were aqueous mounted for digital photographs, taken using the Olympus BH2 microscope. 411

Modeling colorectal carcinogenesis. Eight-week-old female mice received 5 days treatment (10 mg/ml) 412 with tamoxifen followed by an intraperitoneal injection (10 mg/kg body weight) of AOM (Sigma-Aldrich, 413 Missouri, USA) followed by 5 days of DSS (MP biomedicals, California, USA) treatment (2.0%) and 14 days 414 of recovery, as described previously (28). This cycle was repeated three times. After the fourth DSS cycle 415 (87 days), mice were sacrificed, and organs harvested for various analyses. This included small intestines, 416 colons, kidneys, livers, lungs, and spleens. The intestines were opened and examined for the presence of 417 tumors and the number of intestinal tumors was assessed. The size of the tumors was determined by ImagJ 418 419 software.

Tissue histology. Colon, kidney, liver, spleen, and lung samples were immediately fixed in 10% neutral buffered formalin and processed into paraffin blocks and sectioned at 3 µm using a microtome and placed on slides. These slides were used for hematoxylin and eosin (H&E) staining. Digital photographs of H&E were taken using the Keyence BZ-9000E microscope. The Keyence microscope system was used to capture digital images at low power and the images were merged to obtain the final image of the roll of mouse intestine.

426 Study approval. Mice were housed at an animal facility of the University of California San Diego (UCSD).
427 All mouse procedures were approved by The Institutional Animal Care and Use Committee (IACUC).

428

## 430 Computational Methodologies:

Curation of Publicly available Datasets: Several publicly available microarrays and RNASeg databases 431 were downloaded from the National Center for Biotechnology Information (NCBI) Gene Expression Omnibus 432 433 (GEO) server. Gene expression summarization was performed by normalizing Affymetrix platforms by RMA (Robust Multichip Average) and RNASeg platforms by computing TPM (Transcripts Per Millions) values 434 435 whenever normalized data were not available in GEO. We used log2(TPM +1) as the final gene expression value for analyses. GEO accession numbers are reported in figures and text. For the dataset (GSE146009; 436 437 15 African American and 18 Caucasian American samples) containing RNA-seq data generated by TruSeq Stranded mRNA Library Prep Kit, we obtained it from NCBI GEO and subsequently cleaned to exclude paired 438 tumor and normal mucosa samples with the mapping rate >90% (all 15 African American and 9 Caucasian 439 American samples passed the QC check). Caucasians were defined as Americans with European ancestry: 440 African Americans were defined as having any amount of ancestry contribution from Africa. 441

442 RNA seq on cells and mouse colons. Caco-2 cells and mouse tissues (distal colon after tamoxifen administration for baseline, and mouse colon tumors from AOM-DSS carcinogenesis protocol) were 443 subjected to mRNA extraction using RNeasy plus mini kit (Qiagen, Venlo, Netherlands). Sample quality 444 control was evaluated by the TapeStation system (Agilent, California, USA). Transcriptomic analysis was 445 performed on RNA libraries prepared from samples not expressing or expressing Siglec-XII using the Illumina 446 Stranded mRNA Prep. Each sample was used to prepare three separate technical replicate libraries for 447 sequencing. Libraries were sequenced at 2 × 100 bp on NovaSeg 6000 (Illumina, California, USA). Reads 448 were mapped to human reference genome Hg19 using kallisto 0.44.0 pipeline. Mapped reads were counted 449 450 at the gene level using featureCounts v1.5.220 and counts were analyzed using DESeg2 v1.14.1.21. Sample 451 clustering was confirmed by principal component analysis (PCA), which is an unsupervised learning algorithm technique used to examine the interrelations among a set of variables. Differentially expressed genes with a 452 453 p value ≤0.05 and fold change ≥2 was then selected for further examination.

Gene Expression Analyses. The expression levels of all genes in these datasets were converted to binarv 454 values (high or low) using the StepMiner algorithm (45) which undergoes an adaptive regression scheme to 455 verify the best possible up and down steps based on sum-of-square errors. The steps are placed between 456 data points at the sharpest change between expression levels, which gives us the information about threshold 457 458 of the gene expression-switching event. To fit a step function, the algorithm evaluates all possible steps for each position and computes the average of the values on both sides of a step for the constant segments. An 459 adaptive regression scheme is used that chooses the step positions that minimize the square error with the 460 fitted data. Finally, a regression test statistic is computed as follows: 461

462 
$$F \, stat = \frac{\sum_{i=1}^{n} (\hat{X}_i - \bar{X})^2 / (m-1)}{\sum_{i=1}^{n} (X_i - \hat{X}_i)^2 / (n-m)}$$

463 Where  $X_i$  for i = 1 to n are the values,  $\hat{X}_i$  for i = 1 to n are fitted values. M is the degrees of freedom used 464 for the adaptive regression analysis.  $\bar{X}$  is the average of all the values:

$$\bar{X} = \frac{1}{n} * \sum_{j=1}^{n} X_j$$

466 For a step position at k, the fitted values  $\widehat{X}_l$  are computed by using:

$$\frac{1}{k} * \sum_{j=1}^{n} X_j$$

468 for i = 1 to k and

469 
$$\frac{1}{(n-k)} * \sum_{j=k+1}^{n} X_j$$

470 for i = k + 1 to *n*.

Gene expression values were normalized according to a modified Z-score approach centered around 471 472 StepMiner threshold (formula = (expr – SThr)/3\*stddev). The normalized expression values for all genes were 473 added together to create the final score for the gene signature. The samples were ordered based on the final 474 signature score. Differentially expressed genes are identified using DESeg2 package in R. Standard t-tests 475 were performed using Python scipy.stats.ttest ind package (version 0.19.0) with Welch's two-sample t-test (unpaired, unequal variance (equal var = False), and unequal sample size) parameters. Multiple hypothesis 476 correction was performed by adjusting p-values with statsmodels.stats.multitest.multipletests (fdr bh: 477 Benjamini/Hochberg principles). Pathway analysis of gene lists was carried out via the Reactome database 478 479 and GO Biological processes.

480 Measurement of classification strength or prediction accuracy. Receiver operating characteristic (ROC) curves were computed by simulating a score based on the ordering of samples that illustrates the diagnostic 481 482 ability of binary classifier system as its discrimination threshold is varied along with the sample order. The ROC curves were created by plotting the true positive rate (TPR) against the false positive rate (FPR) at 483 various threshold settings. The area under the curve (often referred to as simply the AUC) is equal to the 484 probability that a classifier will rank a randomly chosen CRC samples higher than a randomly chosen healthy 485 samples. In addition to ROC AUC, other classification metrics such as accuracy ((TP + TN)/N; TP: True 486 Positive; TN: True Negative; N: Total Number), precision (TP/(TP+FP); FP: False Positive), recall 487 (TP/(TP+FN); FN: False Negative) and f1 (2 \* (precision \* recall)/(precision + recall)) scores were computed. 488

Precision score represents how many selected items are relevant and recall score represents how many relevant items are selected. Python Scikitlearn package was used to compute the ROC-AUC values. Fisher exact test is used to examine the significance of the association (contingency) between two different classification systems (one of them can be ground truth as a reference).

493 Unsupervised clustering and Heatmap. Expression patterns of the genes that are differentially expressed 494 in African American Caucasian American samples (in GSE146009) and *SIGLEC-12* expressing and control 495 groups, before or after AOM/DSS challenge are clustered without bias based on their z-normalized cpm 496 expression values, in all the samples. The data is visualized using the seaborn clustermap package (v 0.12) 497 in python.

**Multivariate Analyses**. To assess which demographic and clinicopathologic factor(s) may influence Siglec-XII expression in CRCs, multivariate regression has been performed on a tumor microarray dataset. Multivariate analysis models the *SIGLEC-12* expression in samples (base variable) as a linear combination of all other metadata that was associated with each tumor, i.e., clinical (stage, pTNM, location), demographic (age/gender), or histopathological parameters. Here, the stats models module from python has been used to perform Ordinary least-squares (OLS) regression analysis of each of the variables. The p-value for each term tests the null hypothesis that the coefficient is equal to zero (no effect).

Kaplan-Meier Survival Plots. Survival analysis was performed using "Use multiple genes" options on
 Kaplan-Meier Plotter (46) and running the analysis on the *SIGLEC12* gene signature using the 'default setting'
 using the mean expression of the genes.

**Statistics.** Statistical significance was calculated using Prism 10 statistical software (GraphPad, Inc. California, USA). The data presented in this study is expressed as mean values  $\pm$  SD. Normality test was performed prior to statistical test. For comparisons between two independent samples, T-Test was used. For multiple comparisons ANOVA, followed by Tukey's multiple comparisons test, was performed. The data correspond to at least three independent experiments. A statistically significant value was defined as p < 0.05.

514 **Data availability.** RNA sequencing data have been made available publicly through the NCBI GEO 515 repository (GSE262088), and in the "Supporting data values" XLS file.

#### 516 AUTHOR CONTRIBUTIONS TO MANUSCRIPT

517 HAC, NV, AV, and PG conceptualized the project. HAC performed the experiments and analyzed the 518 results. SS and PG conducted all computational analyses in this work. HAC, NV, AV, SS and PG prepared 519 display items for data visualization. HAC, NV, AV, and PG wrote the original draft of the manuscript. All 520 authors provided input and edited and revised the manuscript. All co-authors approved the final version of 521 the manuscript. AV and PG coordinated and supervised all parts of the project.

522

# 523 ACKNOWLEDGEMENTS

This study was initiated with support from R01GM32373 (AV). This work was supported by the 524 National Institutes for Health (NIH) grants UG3TR002968, UH3 TR002968, R01-CA238042, R01-AI155696 525 and R01-AI141630 (to PG). Other sources of support include Padres Pedal the Cause awards #PTC2021 526 and #PTC2022, Curebound Foundation awards #23DG04 and #21DG03. S.S was also supported in part 527 through funds from the American Association of Immunologists (AAI) Intersect Fellowship Program for 528 Computational Scientists and Immunologists. This manuscript includes data generated at the UC San Diego 529 Institute of Genomic Medicine (IGM) using an Illumina NovaSeq 6000 that was purchased with funding from 530 a National Institutes of Health SIG grant (#S10 OD026929). HAC was supported by the Pew Latin American 531 Fellows program. We are grateful for access to the computational resources at the Center for Precision 532 Computational Systems Network (PreCSN), UC San Diego Institute for Network Medicine. 533

534

# 535 FOOTNOTES

Abbreviations: AOM, azoxymethane; CRC, colorectal cancer; CAP, polyps that progress to CRC; CFP, cancer-free polyps; DSS, dextran sulfate sodium salt; DEGs, differentially expressed genes; H&E, hematoxylin and eosin staining; IECs, intestinal epithelial cells; Sia, Sialic acid; ITIMs, immunoreceptor tyrosine-based inhibitory motifs; pTNM Tumor, Node, Metastasis; MSI-high, microsatellite instability-high; PTPs, protein tyrosine phosphatases.

- 541
- 542 KEY WORDS
- 543 Siglecs, colorectal cancer, inflammation.
- 544
- 545

# 546 **REFERENCES**

- 547 1. Sung H, et al. Global Cancer Statistics 2020: GLOBOCAN Estimates of Incidence and Mortality
- 548 Worldwide for 36 Cancers in 185 Countries. CA Cancer J Clin. 2021;71(3).
- 549 https://doi.org/10.3322/caac.21660.
- 550 2. Varki NM, Varki A. On the apparent rarity of epithelial cancers in captive chimpanzees. *Philos Trans R* 551 *Soc B Biol Sci*. 2015. https://doi.org/10.1098/rstb.2014.0225.
- 552 3. Goodman M, Grossman LI, Wildman DE. Moving primate genomics beyond the chimpanzee genome. 553 *Trends Genet*. 2005;21(9). https://doi.org/10.1016/j.tig.2005.06.012.
- 4. Enard W. Functional primate genomics Leveraging the medical potential. *J Mol Med*. 2012;90(5). https://doi.org/10.1007/s00109-012-0901-4.
- 5. Vaill M, et al. COMPARATIVE PHYSIOLOGICAL ANTHROPOGENY: EXPLORING MOLECULAR UNDERPINNINGS OF DISTINCTLY HUMAN PHENOTYPES. *Physiol Rev.* 2023;103(3).
- 558 https://doi.org/10.1152/physrev.00040.2021.
- 6. Varki N, et al. Heart disease is common in humans and chimpanzees, but is caused by different
- 560 pathological processes. *Evol Appl*. 2009;2(1). https://doi.org/10.1111/j.1752-4571.2008.00064.x.
- 7. Varki A. Nothing in medicine makes sense, except in the light of evolution. *J Mol Med*. 2012;90(5).
  https://doi.org/10.1007/s00109-012-0900-5.
- 563 8. Siddiqui SS, et al. Human-specific polymorphic pseudogenization of SIGLEC12 protects against 564 advanced cancer progression. *FASEB BioAdvances*. 2021;3(2):69–82.
- 565 9. Thiesler H, et al. Proinflammatory Macrophage Activation by the Polysialic Acid-Siglec-16 Axis Is Linked 566 to Increased Survival of Patients with Glioblastoma. *Clin Cancer Res*. 2023;29(12).
- 567 https://doi.org/10.1158/1078-0432.ccr-22-1488.
- 568 10. Daëron M, et al. Immunoreceptor tyrosine-based inhibition motifs: A quest in the past and future. 569 *Immunol Rev.* 2008;224(1). https://doi.org/10.1111/j.1600-065X.2008.00666.x.
- 570 11. Barrow AD, Trowsdale J. You say ITAM and I say ITIM, let's call the whole thing off: The ambiguity of 571 immunoreceptor signalling. *Eur J Immunol.* 2006;36(7). https://doi.org/10.1002/eji.200636195.
- 12. Varki A, Angata T. Siglecs The major subfamily of I-type lectins. *Glycobiology*. 2006.
- 573 https://doi.org/10.1093/glycob/cwj008.
- 13. Varki A, Schnaar RL, Crocker PR. I-Type Lectins -Essentials of Glycobiology -NCBI Bookshelf Chapter
  35 I-Type Lectins. *Cold Spring Harb (NY*. 2015.
- 14. Leblanc C, et al. Epithelial Src homology region 2 domain–containing phosphatase-1 restrains intestinal
   growth, secretory cell differentiation, and tumorigenesis. *FASEB J.* 2017;31(8).
- 578 https://doi.org/10.1096/fj.201601378R.
- 579 15. Gagné-Sansfaçon J, et al. SHP-2 phosphatase contributes to KRAS-driven intestinal oncogenesis but 580 prevents colitis-associated cancer development. *Oncotarget*. 2016;7(40).
- 581 https://doi.org/10.18632/oncotarget.11601.
- 582 16. Li B, et al. Expression signature, prognosis value, and immune characteristics of Siglec-15 identified by 583 pan-cancer analysis. *Oncoimmunology*. 2020;9(1). https://doi.org/10.1080/2162402X.2020.1807291.
- 584 17. Leaubli H, Nalle SC, Maslyar D. Targeting the Siglec–Sialic Acid Immune Axis in Cancer: Current and
- 585 Future Approaches. *Cancer Immunol Res.* 2022;10(12). https://doi.org/10.1158/2326-6066.CIR-22-0366. 586 18. Mitra N, et al. SIGLEC12, a human-specific segregating (pseudo)gene, encodes a signaling molecule
- expressed in prostate carcinomas. J Biol Chem. [published online ahead of print: 2011].
- 588 https://doi.org/10.1074/jbc.M111.244152.
- 589 19. Angata T, Varki NM, Varki A. A Second Uniquely Human Mutation Affecting Sialic Acid Biology. *J Biol Chem*. [published online ahead of print: 2001]. https://doi.org/10.1074/jbc.M105926200.
- 591 20. Yngvadottir B, et al. A genome-wide survey of the prevalence and evolutionary forces acting on human 592 nonsense SNPs. *Am J Hum Genet*. [published online ahead of print: 2008].
- 593 https://doi.org/10.1016/j.ajhg.2009.01.008.
- 21. Flores R, et al. Siglec genes confer resistance to systemic lupus erythematosus in humans and mice.
- 595 *Cell Mol Immunol.* [published online ahead of print: 2019]. https://doi.org/10.1038/cmi.2017.160.
- 596 22. Yu Z, et al. Identification and Characterization of S2V, a Novel Putative Siglec That Contains Two V Set

- 597 Ig-like Domains and Recruits Protein-tyrosine Phosphatases SHPs. *J Biol Chem*. [published online ahead 598 of print: 2001]. https://doi.org/10.1074/jbc.M102394200.
- 599 23. Kucherlapati MH. Mouse models in colon cancer, inferences, and implications. *iScience*. 2023;26(6). 600 https://doi.org/10.1016/j.isci.2023.106958.
- 601 24. El Marjou F, et al. Tissue-specific and inducible Cre-mediated recombination in the gut epithelium. 602 *Genesis*. [published online ahead of print: 2004]. https://doi.org/10.1002/gene.20042.
- 402 25. Madison BB, et al. cis elements of the villin gene control expression in restricted domains of the vertical
   403 (crypt) and horizontal (duodenum, cecum) axes of the intestine. *J Biol Chem*. [published online ahead of
   405 print: 2002]. https://doi.org/10.1074/jbc.M204935200.
- 606 26. Arnesen H, et al. Induction of colorectal carcinogenesis in the C57BL/6J and A/J mouse strains with a 607 reduced DSS dose in the AOM/DSS model. *Lab Anim Res.* 2021;37(1). https://doi.org/10.1186/s42826-608 021-00096-v.
- 609 27. Neufert C, et al. Inducible mouse models of colon cancer for the analysis of sporadic and inflammation-610 driven tumor progression and lymph node metastasis. *Nat Protoc*. 2021;16(1).
- 611 https://doi.org/10.1038/s41596-020-00412-1.
- 612 28. Allen IC, et al. The NLRP3 inflammasome functions as a negative regulator of tumorigenesis during 613 colitis-associated cancer. *J Exp Med*. 2010;207(5). https://doi.org/10.1084/jem.20100050.
- 29. Dzhalilova D, et al. Murine models of colorectal cancer: the azoxymethane (AOM)/dextran sulfate
- sodium (DSS) model of colitis-associated cancer. *PeerJ*. 2023;11. https://doi.org/10.7717/PEERJ.16159.
- 30. Pillai S, et al. Siglecs and immune regulation. *Annu Rev Immunol*. 2012;30.
- 617 https://doi.org/10.1146/annurev-immunol-020711-075018.
- 618 31. Okayasu I, et al. A novel method in the induction of reliable experimental acute and chronic ulcerative 619 colitis in mice. *Gastroenterology*. 1990;98(3). https://doi.org/10.1016/0016-5085(90)90290-H.
- 620 32. Druliner BR, et al. Molecular characterization of colorectal adenomas with and without malignancy 621 reveals distinguishing genome, transcriptome and methylome alterations. *Sci Rep.* 2018;8(1).
- 622 https://doi.org/10.1038/s41598-018-21525-4.
- 523 33. Druliner BR, et al. Colorectal cancer with residual polyp of origin: A model of malignant transformation.
   524 *Transl Oncol.* 2016;9(4). https://doi.org/10.1016/j.tranon.2016.06.002.
- 625 34. Druliner BR, et al. Time Lapse to Colorectal Cancer: Telomere Dynamics Define the Malignant Potential 626 of Polyps. *Clin Transl Gastroenterol*. 2016;7(9). https://doi.org/10.1038/ctg.2016.48.
- 527 35. Kim TM, et al. Clonal origins and parallel evolution of regionally synchronous colorectal adenoma and carcinoma. *Oncotarget*. 2015;6(29). https://doi.org/10.18632/oncotarget.4834.
- 629 36. Baran B, et al. Difference Between Left-Sided and Right-Sided Colorectal Cancer: A Focused Review 630 of Literature. *Gastroenterol Res.* 2018;11(4). https://doi.org/10.14740/gr1062w.
- 37. Paredes J, et al. Immune-Related Gene Expression and Cytokine Secretion Is Reduced Among African
   American Colon Cancer Patients. *Front Oncol.* 2020;10. https://doi.org/10.3389/fonc.2020.01498.
- 633 38. Carethers JM. Racial and ethnic disparities in colorectal cancer incidence and mortality. *Advances in* 634 *Cancer Research*. 2021.
- 635 39. Varki A. Uniquely human evolution of sialic acid genetics and biology. *Proc Natl Acad Sci U S A*. 2010;107(SUPPL. 2). https://doi.org/10.1073/pnas.0914634107.
- 40. Li C, et al. PTPN18 promotes colorectal cancer progression by regulating the c-MYC-CDK4 axis.
- 638 Genes Dis. 2021;8(6). https://doi.org/10.1016/j.gendis.2020.08.001.
- 41. Lin WR, et al. Dynamic bioenergetic alterations in colorectal adenomatous polyps and
- adenocarcinomas. *EBioMedicine*. 2019;44. https://doi.org/10.1016/j.ebiom.2019.05.031.
- 42. Hewish M, et al. Mismatch repair deficient colorectal cancer in the era of personalized treatment. *Nat Rev Clin Oncol.* 2010;7(4). https://doi.org/10.1038/nrclinonc.2010.18.
- 43. Gatalica Z, et al. High microsatellite instability (MSI-H) colorectal carcinoma: a brief review of predictive
  biomarkers in the era of personalized medicine. *Fam Cancer*. 2016;15(3). https://doi.org/10.1007/s10689016-9884-6.
- 44. Metzger D, Chambon P. Site- and time-specific gene targeting in the mouse. *Methods*. 2001;24(1).
  https://doi.org/10.1006/meth.2001.1159.
- 45. Sahoo D, et al. Boolean implication networks derived from large scale, whole genome microarray

- datasets. Genome Biol. 2008;9(10). https://doi.org/10.1186/gb-2008-9-10-r157.
- 46. Nagy Á, et al. Validation of miRNA prognostic power in hepatocellular carcinoma using expression data of independent datasets. *Sci Rep.* 2018;8(1). https://doi.org/10.1038/s41598-018-27521-y.



654

Figure 1. Mechanism and the observed prevalence of human-specific inactivation and elimination of the protein product of *SIGLEC12*. **A.** Schematic (top) summarizes the impact of the human universal mutation (rs16982743) of the gene *SIGLEC12* which results in a loss of an essential arginine which abolishes the ability of the Siglec-XII protein to bind/recognize sialic acids (Sias). This functionally inactivating mutation occurred prior to the common ancestor of all modern humans, *SIGLEC12* is intact and functional in great apes. Schematic (bottom) summarizes the ongoing selection for the Siglec-XII null state that continues in the current worldwide human population. The most common polymorphic mutation is a frameshift mutation (rs66949844), guanine (G) insertion, that in the homozygous state eliminates the protein expression in most humans. **B.** Pie charts indicate the restricted prevalence of SiglecKII expression (~30%) in the entire human population (left), but the enrichment of such expressors among all (middle) and colorectal(right) carcinomas.



![](_page_25_Figure_1.jpeg)

Figure 2. Forced expression of Siglec-XII in null human carcinoma cell lines enhances cellular processes associated with tumor aggressiveness. A-B. Graphs display cell adhesion on 2D surface for PC3 (A) and Caco-2 (B) cells, as measured by crystal

- violet staining. C-E. Graphs (C, PC3; D, Caco-2) display cellular viability of the same cells in 3D tumoroid cultures. Representative
   images are displayed (E). Scale bar: 100 µm. F-G. Graphs display % migration of PC-3 (F) and Caco-2 (G) cells, as determined by
   Transwell® assays (0-10% serum gradient). H-K. Quantitative immunoblotting on equal aliquots of whole cell lysates of PC3 (H-I) or
- 670 Caco-e (J-K) cells to assess ERK1/2 activity. Blots results were set up in parallel, run contemporaneously and normalized to loading
- 671 controls (β-Actin). OD, optical density. Representative immunoblots are shown in H and J, and quantification of 3 independent repeats
- are shown as bar graphs in I and K. Error bars indicate ± S.D. See also Supplementary Figure S1 for approaches used to confirm
- the Siglec-XII null state.
- <u>Statistics</u>: P values were calculated using GraphPad Prism, p value <0.05 was considered as significant. A, B, C and D, ANOVA</li>
   followed by Tukey's multiple comparisons post hoc test. F, G, and K, 2- tailed t-Test. I, 1- tailed t-Test.

![](_page_27_Figure_0.jpeg)

676

677 Figure 3. Creation and validation of a transgenic knock-in SIGLEC12 murine model that expresses Siglec-XII in the small 678 and large intestine. A. Schematic displays the cloning strategy for creation of the SIGLEC12 knock-in mice (wild-type mice do not 679 harbor a SIGLEC12 gene). Tissue specific Cre-driver mice express a tamoxifen-inducible System of estrogen receptor fused to Cre 680 (Cre-ERT). In absence of Tamoxifen (T), Hsp90 binds to Cre-ERT and maintains its cytoplasmic retention. Nuclear translocation of 681 Cre-ERT by tamoxifen. In the nucleus, Cre-ERT recognizes loxP sites and allows tissue-specific expression of Siglec-XII. B. An 682 overview of experimental design for the induction of Siglec-XII expression by serial administration of Tamoxifen on five consecutive 683 days, followed by harvesting of tissues to confirm early (day 12) and sustained (day 87) expression of Siglec-XII. C. Western blot for 684 Siglec-XII and β-Actin on transgenic mouse and control tissues at day 12 post induction using Tamoxifen. D. Expression of Siglec-685 XII in mouse tissue evaluated by immunohistochemistry at day 12 post induction using Tamoxifen. Scale bar: 100 µm. See also 686 Supplementary Figure S2A (for immunoblots) and S2B (for immunohistochemistry) on samples at day 87 post induction.

![](_page_28_Figure_0.jpeg)

688 Figure 4. Transgenic knock-in SIGLEC12 mice are at greater risk of inflammation-associated colorectal cancers. A. An 689 overview of experimental design for the induction of Siglec-XII expression by serial administration of Tamoxifen followed by 690 carcinogenesis protocol consisting of a single administration of azoxymethane (AOM) and four cycles of dextran sodium sulfate (DSS). B. Representative pictures of colonic tissue from control and Siglec-XII-expressing mice subjected to tamoxifen administration 691 692 and carcinogenesis protocol (AOM/DSS). Scale bar: 1 cm. The complete panel of pictures of colonic tissue is shown in Supplemental 693 Figure S4. C-D. Comparison of the number of tumors (C) and tumor size (D) in control (N=7) and Siglec-XII-expressing mice (N=7) subjected to tamoxifen administration and carcinogenesis protocol. Error bars indicate ± S.D. E. Representative pictures of H&E-694 695 stained colonic tissue from control and Siglec-XII-expressing mice subjected to tamoxifen administration and carcinogenesis protocol. 696 the boxed areas (top) are shown at higher magnification (bottom). Arrows indicate immune cell infiltrates. Scale bars: 100 µm (top), 697 50 µm (bottom). Statistics: P values were calculated by 2-tailed t-test using GraphPad Prism, p value <0.05 was considered as 698 significant.

![](_page_30_Figure_0.jpeg)

Figure 5. SIGLEC12 expression induces gene expression in polyps at risk of progression to CRCs. A. An overview of experimental design for the induction of Siglec-XII expression by serial administration of Tamoxifen followed by carcinogenesis protocol consisting of a single administration of AOM and four cycles of DSS. On day 87, mouse colon tumors were harvested for RNA Seq analysis. B. Heatmap shows the z normalized expression pattern of upregulated differentially expressed genes (DEGs) in Siglec-XII-expressing cohort. C. Plot showing the fold enrichment of various biological processes from the Gene Ontology (GO) database. D-E. Violin plots display the *StepMiner* normalized composite scores of the DEGs (in B) in control vs Siglec XII samples at

baseline (day 12; D) (collected within 1 week after tamoxifen administration) and Caco-2 pcDNA3.1(-) and Siglec-XII-Caco-2 (E). F.
Violin plots display the *StepMiner* normalized composite scores of the DEGs (in B) in patient tissues from three independent cohorts (GSE76987, GSE117606, and GSE117607). R, right; L, left; SSA, sessile serrated adenoma; Adj., adjacent. G. Violin plots display the *StepMiner* normalized composite scores of the DEGs (in B) in laser microdissected adenomatous tissues from polyps that progressed to cancers [cancer-adjacent polyps (CAP)] vs. those which did not [cancer-free polyps (CFP)]. *Statistics*: *p* values in each violin plot (D-H) are based on Welch's T-test between comparator groups. *p* values for survival plots were determined by log rank test.

![](_page_32_Figure_0.jpeg)

714 Figure 6, Computational Siglec-XII positive CRCs, A. Expression of Siglec-XII in human colorectal tumors was evaluated by 715 immunohistochemistry. Representative images of tumors that were scored as negative (specimen 69) or positive (specimen 84) are 716 shown, Scale bar: 100 µm, B. Multivariate analysis of Siglec-XII positivity as a linear combination of all variables in the tumors used 717 in this study. The coefficient of each variable (at the center) with their upper and lower bounds of 95% confidence interval (as error 718 bars) and the p-values from t-tests are illustrated in the bar plot. The p-value for each term tests the null hypothesis that the coefficient 719 is equal to zero (no effect). Asterisk = significant co-variate. \*p 0.018. See also Supplementary Table 1 for source data. C. Schematic summarizes the transcriptomics-based computational approach to find a match between model (Siglec-XII murine tumors) vs disease 720 721 (human CRCs). D. Violin plots display the StepMiner normalized composite scores of the DEGs (in B) in tumor and matched adjacent 722 normal colon tissues in 15 African American (AA) and 9 European American (EA) patients. E-F. Kaplan-Meier curves for overall (I-J) 723 and progression-free survival (Supplementary Figure S5A-B) in patients with all CRCs (E) or just the MSI-high subset (F), stratified 724 based on high vs low mean expression values of the DEGs in B. G-H. Violin plots of the StepMiner normalized composite scores of 725 key immune (G) and mismatch repair (H) genes that were found to be differentially expressed between the two ethnic groups in 726 GSE146009 (left) and in the control (C) vs Siglec-XII (S) mouse tumors (right). See also Supplementary Figure S5C-F for the 727 patterns of expression of the individual genes displayed as heatmaps. Statistics: p values in each violin plot (D, G-H) are based on 728 Welch's T-test between comparator groups, p values for survival plots were determined by log rank test.

![](_page_34_Figure_0.jpeg)

729

Figure 7. Summary of findings. A. Schematic summarizes the major goal, key model systems, and the key findings made using each model system in the current study. Three model systems were used, each seeking to model the oncogenic risk posed by continued Siglec-XII expression in humans (~30% of the population) despite evolutionary loss in most of the population. B. Schematic summarizes the key conclusions drawn from an unbiased navigation of the human disease, performed using an objective assessment of transcriptomic datasets using a model-derived gene signature. EA: European American.